

**ROC Pref III Corp.**
**Quarterly Portfolio Disclosure as of September 30, 2009**


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**Summary of Investment Portfolio:<sup>(\*)</sup>**

	<b>% of NAV</b>
Materials	42.1%
Information Technology	40.1%
Consumer Staples	11.5%
Health Care	7.5%
Industrials	7.3%
Consumer Discretionary	3.4%
Cash & Equivalents	0.4%
Equity Derivatives	-12.0%

**(\*) The summary of investment portfolio may change due to ongoing portfolio transactions of the investment fund and a quarterly update is available.**

**Top 25 Holdings:**

	<b>% of NAV</b>
Ivanhoe Mines Ltd.	27.7%
Celestica Inc.	23.7%
Research In Motion	16.2%
Viterra Inc	11.5%
FNX Mining Company Inc.	9.4%
MDS Inc.	7.5%
Westjet Airlines Ltd.	7.3%
Teck Cominco Ltd.	4.7%
Rona Inc.	2.9%
CanWest Global Communications Sub Voting	0.5%
Cash & Equivalents	0.4%
Sino-Forest Corporation - Class A	0.3%
CGI Group Class A	0.2%
Equity Derivatives	-12.0%

**Net assets value (NAV):** **\$ 53,456,632**

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## Credit Trust III

Quarterly Portfolio Disclosure as of September 30, 2009

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### Summary of Investment Portfolio:<sup>(\*)</sup>

	<b>% of NAV</b>
Credit Linked Note	94.5%
Cash & Equivalents	5.7%

(\*) The summary of investment portfolio may change due to ongoing portfolio transactions of the investment fund and a quarterly update is available.

### Top 25 Holdings:

	<b>% of NAV</b>
TD Bank CLN 5.416% Mar. 22, 2012	94.5%
Cash & Equivalents	5.7%

**Net assets value (NAV):** **\$ 53,425,578**

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