

CC&L ROC Pref Corp.

Quarterly Portfolio Disclosure as of September 30, 2009

Summary of Investment Portfolio: ^(*)	% of NAV
Derivatives	100.2%
Materials	0.1%
Cash & Cash Equivalents	0.1%

(*) The summary of investment portfolio may change due to ongoing portfolio transactions of the investment fund and a quarterly update is available.

Top 25 Holdings:	% of NAV
Forward agreement, at market	100.2%
Kinross Gold Corp.	0.1%
Cash & Cash Equivalents	0.1%

Net assets value (NAV): \$ 36,320,906

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Credit Trust IV

Quarterly Portfolio Disclosure as of September 30, 2009

Summary of Investment Portfolio: ^(*)	% of NAV
Credit-linked notes	96.8%
Cash and Cash Equivalents	3.2%

(*) The summary of investment portfolio may change due to ongoing portfolio transactions of the investment fund and a quarterly update is available.

Top 25 Holdings:	% of NAV
Bank of Nova Scotia CLN 5.758% June 20, 2011	96.8%
Cash & Equivalents	3.2%

Net assets value (NAV): \$ 36,376,115

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